## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

JERDING ET AL.

ART GROUP:

2186

APPL. NO.:

09/564,974

**EXAMINER:** 

BATAILLE, P.M.

FILED:

MAY 4, 2000

**DOCKET NO.:** 

A-6127

TITLE:

SYSTEM AND METHOD FOR A COMMUNICATION TERMINAL

TO MANAGE MEMORY AND MAINTAIN A CURRENT

APPLICATION VERSION FOR MULTIPLE APPLICATIONS

**NOVEMBER 27, 2002** 

## STATEMENT UNDER 37 C.F.R. 1.97(e)

Commissioner for Patents Washington, DC 20231

Sir:

The undersigned hereby certifies that either:

Each item of information contained in the Supplemental Information Disclosure  $\boxtimes$ Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or in a PCT Application, not more than three months prior to the filing of the Information Disclosure Statement, or

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign office in a counterpart foreign application, and to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

HUBERT J. BARNHARDT III

Attorney of Record

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**Certificate of Mailing** 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents Washington, DC 20231

on NOVEMBER 27, 2002.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
under 37 CFR 1.97(c) together with either a:  Statement Under 37 C.F.R. 1.97(e), or  a \$180.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
under 37 CFR 1.97(d) together with a:  Statement under 37 CFR 1.97(e), and  a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$180.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

- 1. EP 0489204
- 2. EP 0811911

Docket No.: A-6127

- 3. WO 99/15964
- 4. WO 95/16958
- 5. WO 99/53401
- 6. "Fail-Safe Message for Insufficient Disk Space," IBM Technical Disclosure Bulletin, IBM Corp., New York, Vol. 37, No. 4A, April 1, 1994, XP000446759, pg. 513.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

HUBERT J. BÄRNHARDT III

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Marvellen Licker

Form P	ГО-1449		Ť O	F ART CITE	Patent and Trad DBY APPLIC ets if necessary				
Attorney Docket No.				Serial No.			Filing Date		
A-6127 Applicant:				09/564,974 Group			MAY 4, 2000		
	nt: IG ET A	L.		2186					
			U.S	S. PATENT D	OCUMENTS				
Exami ner's Initial		Document No.	Date		Name	Class	Subclas	S	
	AA								
	AB								
	AC								
	AD								
	AE								
FOREIGN PATENT DOCUMENTS									
		Document No.		Date	Country	. Class	Subclass	Translation	
	AF	EP 0489204		10/06/1992	Europe				
	AG	EP 0811911		10/12/1997	Europe				
	AH	WO 99/15964		01/04/1999	PCT Int'l				
	AI	WO95/16958		22/06/1995	PCT Int'l				
	AJ	WO 99/53401		21/10/1999	PCT Int'l				
	OTHER	PRIOR ART	(Incl	uding Auth	or Title, Date	, Pertiner	nt Pages,	Etc.)	
	AK "Fail-Safe Message for Insufficient Disk Space," IBM Technical Disclosure Bulletin, IBM Corp., New York, Vol. 37, No. 4A, April 1, 1994, XP000446759, pg. 513.								
	AL								
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EXAMINER					DATE CONSIDERED:				
MPEP	609. Dı	nitial if referen aw line through ation to Applica	n cita	onsidered, wh tion if not in	ether or not cit conformance.	tation is in Include co	conforma	nce with form with	